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## **AGENDA**

### **THE GULF CONSORTIUM Executive Committee Meeting**

**March 10, 2021, 3:30 p.m. Eastern**

**GoToMeeting  
Dial-in Number: 1-669-224-3217  
Participant Passcode: 865-760-109 #**

#### **Committee Members**

Chair - Commissioner Chris Constance (Charlotte), Vice-chair - Commissioner John Meeks (Levy),  
Secretary/Treasurer - Commissioner Jack Mariano (Pasco), Commissioner Scott Carnahan (Citrus), David  
Edwards (Wakulla)

#### **Alternate Committee Members:**

Commissioner Robert Bender (Escambia)  
Commissioner Betsy Barfield (Jefferson)

#### **Staff**

Valerie Seidel, Dan Dourte, Richard Bernier (The Balmoral Group)  
Lynn Hoshihara, Evan Rosenthal (Nabors, Giblin & Nickerson, P.A.)

#### **Item 1. Call to Order.**

Chairman Commissioner Christopher Constance will call the meeting to order.

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#### **Item 2. Roll Call.**

Valerie Seidel will call the roll.

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#### **Item 3. Additions or Deletions.**

Any additions or deletions to the committee meeting agenda will be announced.

RECOMMEND: Approval of a final agenda.

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#### **Item 4. Public Comments.**

The public is invited to provide comments on issues that are on today's agenda. All comments regarding a specific agenda item will be considered following the Committee's discussion of that agenda item. The meeting will be conducted electronically (or "virtually") You may participate in the meeting electronically by joining the go to meeting at <https://global.gotomeeting.com/join/865760109> or you may you can also dial in using your phone:

**United States: [+1 \(669\) 224-3217](tel:+16692243217)**

**Access Code: 865-760-109**



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*Please note: Individuals who have comments concerning a specific agenda item shall make an effort to communicate with staff prior to that agenda item.*

*(please see backup pages 6-7)*

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**Item 5. Consent Agenda.**

The consent agenda items are presented for approval. Executive Committee members may remove any items from this agenda that they have questions on or would like to discuss in depth. Any items removed would then be included in the regular agenda in an order assigned by the Chair.

**Consent Agenda Items:**

- Minutes of the January 14, 2021 Executive Committee Meeting (please see backup pages 8-12)
- Minutes of the February 11, 2020 Executive Committee Meeting (please see backup pages 13-15)
- Report on delegated authority through February 28, 2020 (please see back up pages 16-18)

RECOMMEND: Approve Executive Committee Meeting Minutes and Delegated Authority Report

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**Item 6. Grant Applications Status**

Dan Dourte will give an update on grant application status. 1 new application was received (from Bay County; about \$1.5M in construction funding requested). Upcoming subrecipient applications should be delivered to the Gulf Consortium by 5/28/2021; these will be reviewed at the July 2021 Board Meeting. The status for all projects with active funding requests is regularly updated and can be accessed on P.7 of the interface at <https://datavisual.balmoralgroup.us/GulfConsortiumProjects>.

RECOMMEND: Approve Grant Application for Full Board Review

*(Please see back up pages 19-30)*

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**Item 7. Financial Report**

Richard Bernier will deliver updated financial reports.

*(Please see back up 31-37)*

RECOMMEND: Approve Financial Reports for Final Board Approval

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**Item 8. General Counsel Report**

Lynn Hoshihara will deliver updated General Counsel Report

*(Please see back up 38-39)*

RECOMMEND: For information only

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**Item 9. FDEP – NRDA restoration planning.**

Gareth Leonard will give an update on NRDA restoration planning. *(Please see back up 40-41)*

RECOMMEND: For information only

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**Item 10. Manager's Report**

Valerie Seidel will present an updated manager's report. *(Please see back up pages 42-44)*

RECOMMEND: For information only

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**Item 11. Public Comments**

The public is invited to provide comments on relevant issues. *(Please see back up 45-46)*

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**Item 12. Executive Committee Member Comments**

Members of the Gulf Consortium Executive Committee are invited to provide comments on relevant issues *(Please see back up 47-48)*



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**Item 13. Upcoming Gulf Consortium Board Meeting**

March 18, 2021 4:00pm, meeting to be held virtually

**Item 14. Adjourn**

**Gulf Consortium Executive Committee Meeting**  
**March 10, 2021 3:30 p.m., Eastern**



<u>County</u>	<u>Executive Committee Member</u>	<u>Present</u>
Charlotte	Commissioner Chris Constance	
Levy	Commissioner John Meeks	
Pasco	Commissioner Jack Mariano	
Citrus	Commissioner Scott Carnahan	
Wakulla	David Edwards	
Escambia	Commissioner Robert Bender, Alternate	
Jefferson	Commissioner Betsy Barfield, Alternate	

## **Notice of Meeting/Workshop Hearing**

### **OTHER AGENCIES AND ORGANIZATIONS**

#### **Gulf Consortium**

The Gulf Consortium announces a public meeting of its Executive Committee via communications media technology to which all persons are invited to participate.

DATE AND TIME: March 10, 2021 at 3:30 pm (ET)

PLACE: This meeting will be conducted exclusively via teleconference. Interested persons may participate by telephone via the following:

Dial in Number +1 (669) 224-3217

Participant Passcode: 865-760-109

Interested persons who wish to participate may also contact Valerie Seidel at 407-629-2185 ext 104 or [vseidel@balmoralgroup.us](mailto:vseidel@balmoralgroup.us) at least three (3) days in advance of the meeting to arrange for access to be provided to the teleconference at the following location:

The Balmoral Group, 165 Lincoln Avenue, Winter Park, FL 32789

Please note that in light of the current situation surrounding the COVID-19 virus and to limit public gatherings in accordance with Federal and State directives, interested persons who wish to participate are encouraged to do so remotely via telephone, utilizing the contact information described above.

**GENERAL SUBJECT MATTER TO BE CONSIDERED:** The Executive Committee of the Gulf Consortium will meet to discuss the status of grant applications and grants, review financials, and conduct other business at the discretion of the committee. A copy of the agenda may be obtained at [www.gulfconsortium.org](http://www.gulfconsortium.org) or by contacting: General Manager at 407-629-2185 or [Gulf.Consortium@balmoralgroup.us](mailto:Gulf.Consortium@balmoralgroup.us).

Pursuant to the provisions of the Americans with Disabilities Act, any person requiring special accommodations to participate in this workshop/meeting is asked to advise the agency at least 3 days before the workshop/meeting by contacting the General Manager at 407-629-2185 or [Gulf.Consortium@balmoralgroup.us](mailto:Gulf.Consortium@balmoralgroup.us). If you are hearing or speech impaired, please contact the agency using the Florida Relay Service, 1-800-955-8771 (TDD) or 1-800-955-8770 (Voice).

If any person decides to appeal any decision made by the Executive Committee with respect to any matter considered at this meeting, he/she may need to ensure that a verbatim record of the proceeding is made, which record includes the testimony and evidence from which the appeal is to be issued.

For more information, please contact the General Manager at 407-629-2185 or [Gulf.Consortium@balmoralgroup.us](mailto:Gulf.Consortium@balmoralgroup.us).

# **AGENDA ITEM 4**

**Gulf Consortium Executive Committee Meeting  
January 14, 2021**

**Agenda Item 4  
Public Comments**

**Statement of Issue:**

The public is invited to provide comments on issues that are on today's agenda

**Attachments:**

None

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
General Manager  
On: January 6, 2021

## **AGENDA ITEM 5a**



**Gulf Consortium Executive Committee  
March 10, 2021**

**Agenda Item 5a  
Approval of January 14, 2021 Minutes**

**Statement of Issue:**

Request to approve the minutes of the January 14, 2021 meeting of the Executive Committee.

**Options:**

- (1) Approve the January 14, 2021 minutes as presented; or
- (2) Amend and then approve the minutes.

**Recommendation:**

Motion to approve Option 1.

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
General Manager

**Attachment:**

Draft Minutes, January 14, 2021 meeting of the Executive Committee.

**Action Taken:**

Motion to: \_\_\_\_\_, Made by: \_\_\_\_\_;

Seconded by: \_\_\_\_\_.

Approved\_\_\_\_; Approved as amended\_\_\_\_; Defeated\_\_\_\_\_.

**Gulf Consortium Executive Committee Meeting  
January 14, 2021 Time 4:00p.m. (Eastern)  
Teleconference**

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**Members in Attendance:** Chair Chris Constance (Charlotte), Commissioner Scott Carnahan (Citrus), Commissioner John Meeks (Levy), David Edwards (Wakulla), Commissioner Jack Mariano (Pasco)

**Also in Attendance: Staff:** Valerie Seidel, Dan Dourte, Richard Bernier; (all, of The Balmoral Group); Legal Counsel: Lynn Hoshihara, Evan Rosenthal (Nabors, Giblin & Nickerson), Lisa Robertson (FDEP), Jim Muller

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**Agenda Item #1 – Call to Order**

Chairman Chris Constance called the meeting to order at 4:01pm.

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**Agenda Item #2 – Roll Call**

Attendees as above.

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**Agenda Item #3 – Addition or Deletions**

Chairman Constance asked if there were any changes or additions to the agenda. Commissioner Carnahan made the motion to approve the agenda as revised, second by Commissioner Mariano. All in favor.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #4 – Public Comment**

Doug Robison, with ESA asked to speak to item 9b. Chairman Constance recognized Mr. Robison and Doug commented regarding his submitted letter for respected consideration to the full board regarding the conflict of interest recusal. General Counsel responded in the agenda packet. Mr. Robison noted he had respected the consortium stance over the last 2 years and they have had other counties approach them but were told they were prohibited from working on those. They would like to compete for work and are qualified, any competitive advantage would be 2 years in the past. They are asking for consideration of their request. Mike Langton also spoke to the 2-year lobbying restriction feeling that it was reasonable and asked for that timeline on the recusal. No other public comments.

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**Agenda Item #5 – Consent Agenda**

Chairman Constance presented the minutes for the November 20, 2020 and December 22, 2020 Executive Committee meeting and delegated authority through December 31, 2020 for approval. Commissioner Meeks made the motion to approve, Commissioner Mariano seconded. All in favor.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #6 – Executive Committee Officer Elections**

Chairman Constance recognized Dan Dourte who gave a review of the Officer Elections which would take place at the January 28<sup>th</sup> Board Meeting. The same three officers would up for the same positions and therefore there would not need to be separate ballots. There could be a single board motion if everyone is ok with that. Commissioner Constance noted that the period for nomination closed on January 5<sup>th</sup> so there could not be any nominations on the floor. He asked if there would be a short meeting to choose the at large members. Dan replied that they could nominate in the meeting or afterwards as typical. Commissioner Mariano made the motion to approve to full board, second by Commissioner Carnahan. No opposition.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #7 – Committee Assignments**

Chairman Constance recognized Valerie Seidel who gave a review of the committee assignments which were a little later due to elections and turnover. All three committee rosters proposed were included in the packet, meet the criteria and subject to availability. They are looking for additional audit committee members. Commissioner Constance asked if any of the board members can attend the meetings virtually, Valerie affirmed. Commissioner Jack Mariano made the motion to approve the proposed members for the audit and risk, finance and budget and policy review committee, seconded by Commissioner Meeks.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #8 – SEP Amendment Draft for Public Comment**

Dan Dourte presented the status of the SEP which had been completed and available for public comment with input from several counties. Public comment closes on 1/18/21 and final revisions will be made after the comment period closes. The SEP amendment in the packet is in draft form. The one in the board packet in a few weeks will be the revised after comments. Dan requested to move the SEP Amendment Draft to the full board as the final draft and then official submittal to DEP council. Commissioner Carnahan made the motion to move to the full board, second by Commissioner Meeks. All in favor. Commissioner Mariano commented that Pasco County is abstaining to the item.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #9a –General Counsel Report – Manager Contract**

Chairman Constance recognized Lynn Hoshihara who provided an amendment to the Balmoral Agreement for Management Services. At the December 22<sup>nd</sup> meeting the Executive Committee reviewed and discussed the manager contract and proposed one additional change, which was accepted by Balmoral. The committee made the change that after the 90-day notice period, the parties shall enter into a month-to month agreement until the conclusion of a transition period to the new General Manager. Commissioner Jack Mariano made the motion for transmission to the full board for action, second by Commissioner Carnahan. All in favor.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #9b –General Counsel Report – SEP Planning Consultants**

Lynn Hoshihara provided an overview of the Conflict of Interest issue with ESA and the other SEP planning consultants. Lynn Hoshihara stated that she had been in touch with General Counsel to the RESTORE Council (Mark Bisgeier) and that he was agreeable to a 4-year recusal (measured from the time of adoption of the SEP) which was presented to ESA at the February meeting of 2018. ESA had declined to accept a four year recusal at that time and the board voted to leave the language as is. Any change to the SEP with respect to the recusal would need to be approved by RESTORE. ESA's position has not changed. Lynn Hoshihara stated that General Counsel is agreeable to re-visit the 4-year recusal but that is the minimum amount of time that they could recommend. Commissioner Jack Mariano commended ESA for their work and noted that in the past they had to go with RESTORE's recommendation with respect to this issue. Commissioner Constance asked if anyone could go directly to RESTORE for an opinion or would they have to go through the Consortium. Lynn commented that since the Consortium is the actual recipient of the grant funding from RESTORE, RESTORE wishes to deal with the Consortium on issues. However nothing stops anyone from going to RESTORE but she suspects their response would be the same- that the Consortium needs to address it. David Edwards asked if the conflict of interest applies to any new projects added to SEP since it has changed in the past 2 years. Lynn responded that the conflict only applies to the initial SEP projects that were approved because those are the ones that ESA and the other SEP planning

consultants worked on. Any new projects would not be subject to the recusal. Dan Dourte commented that they could provide that list of new projects in the full board packet. Commissioner Meeks was concerned that the counties could be held liable and said he would need some assurance on that. Lynn commented that 2 years ago some board members expressed the same concerns, so ESA was asked if they would indemnify the Consortium which was declined. If ESA had any update they could bring that to the board meeting. Doug Robison commented that at the January meeting that they did not have a conflict of interest but had a competitive advantage, he had not provided a time frame. He was worried about the ambiguity of all of it. Commissioner Mariano made the motion to move the item for board direction and including a list of new projects and Lynn to provide the rules that govern those projects, seconded by Commissioner Constance. ESA would be allowed to speak during the item. No opposition.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #10 – Financial Report**

Chairman Constance recognized Richard Bernier who provided updated financial statements to the Executive Committee through December 20th. The balance sheet, income statement, deposits and disbursements, grant status summary, implementation costs graph, and submitted grants graph were provided. There are no grants on hold currently. Commissioner Edwards made the motion to move the financials to the full board, second by Commissioner Constance. All approve.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #11 - Grant Applications Status**

Dan Dourte gave an update on grant applications. There were not any new applications. The application deadline is February 12<sup>th</sup> for the next recommended deadline to be in time for the January board meeting, 18 grants have been awarded, 11 are current application are in review by RESTORE, 2 are bring prepared for submission to RESTORE. No action necessary.

**Agenda Item #12 - FDEP – Pot 2 update**

Lisa would provide a quick update at the board meeting. Constance asked if there would be any slides or presentation. Lisa noted it would be verbal update.

**Agenda Item# 13– Manager’s Report**

Chairman Constance recognized Valerie Seidel who gave an update on the Consortium activities since the last Board meeting. Valerie thanked the board for their contract extension and advised that they could reach out at any time to staff at Balmoral if they had any issues. She welcomed new members to the board from Hillsborough, Lee and Okaloosa Counties. New financial reports for active grants are due at the end of the month. Average approval is about 193 days from time of submittal to RESTORE to date of award, this is about 1 month longer than a year ago. Valerie thanked the board for approval of the committee assignments and noted that the audit was underway. There were no comments. No action was required.

**Agenda Item #14 – Public Comment**

None.

**Agenda Item #15 – Executive Committee Member Comment**

None.

**Agenda Item #16 – Upcoming Meetings**

Upcoming meeting is on January 28, 2021 at 3:00pm ET with the whole board. It will be held remotely.

**Agenda Item #16-Adjourn**

There being no further business, Chairman Constance adjourned the meeting at 5:01pm

# **AGENDA ITEM 5b**

**Gulf Consortium Executive Committee  
March 10, 2021**

**Agenda Item 5b  
Approval of February 11, 2021 Minutes**

**Statement of Issue:**

Request to approve the minutes of the February 11, 2021 meeting of the Executive Committee.

**Options:**

- (1) Approve the February 11, 2021 minutes as presented; or
- (2) Amend and then approve the minutes.

**Recommendation:**

Motion to approve Option 1.

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
General Manager

**Attachment:**

Draft Minutes, February 11, 2021 meeting of the Executive Committee.

**Action Taken:**

Motion to: \_\_\_\_\_, Made by: \_\_\_\_\_;

Seconded by: \_\_\_\_\_.

Approved\_\_\_\_; Approved as amended\_\_\_\_; Defeated\_\_\_\_\_.

**Gulf Consortium Executive Committee Meeting  
February 11, 2021 Time 4:00p.m. (Eastern)  
Teleconference**

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**Members in Attendance:** Chair Chris Constance (Charlotte), Commissioner Jack Mariano (Pasco)

**Also in Attendance: Staff:** Dan Dourte, (The Balmoral Group); Legal Counsel: Lynn Hoshihara, Evan Rosenthal (Nabors, Giblin & Nickerson)

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**Agenda Item #1 – Call to Order**

Chairman Chris Constance called the meeting to order at 3:08pm.

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**Agenda Item #2 – Roll Call**

Attendees as above. Two out of three constitutes a quorum

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**Agenda Item #3 – Addition or Deletions**

Chairman Constance asked if there were any changes or additions to the agenda. Commissioner Mariano made the motion to approve the agenda as revised, second by Chair Constance. All in favor.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #4 – Public Comment**

None.

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**Agenda Item #5 – Consent Agenda**

No Consent Agenda items.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #6 – Selection of Alternate and at-large Executive Committee Members**

Four Committee Members have volunteered for the at large executive committee members. All have served in the past. There are four names and four members. The decision at hand is which two members will be the at large member and who will be alternate. Commissioner Mariano made the motion to appoint Commissioner Carnahan and David Edwards at large, Commissioner Bender and Commissioner Barfield as alternate. Seconded by Chair Constance. Constance noted that all members are invited to attend all the executive committee calls and the can vote.

**ACTION: EXECUTIVE COMMITTEE APPROVED**

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**Agenda Item #7 – Public Comment**

None.

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**Agenda Item #8 – Executive Committee Member Comment**

Commissioner Mariano commended the new members and thanked the board members for continuing to serve. Chair Constance agreed. He asked Dan about the next board meeting which would be held 2 weeks prior to March 17 or March 18<sup>th</sup>. Dan confirmed that they picked the 18<sup>th</sup> and were coordinating with FAC on the best time. Constance suggested the afternoon of the 18<sup>th</sup> to avoid conflict. It would be a virtual meeting. Dan confirmed that he would confirm with the restore that would work for the afternoon. All items for FAC were on the 17<sup>th</sup>.

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**Agenda Item #9-Adjourn**

There being no further business, Chairman Constance adjourned the meeting at 3:17 pm.

## **AGENDA ITEM 5c**



**Gulf Consortium Executive Committee Meeting  
March 10, 2021**

**Consent Agenda**

**Item 5c  
Report on Delegated Authority  
Actions from January 1 – February 28, 2020**

**Summary:**

Staff report of actions carried out through delegated authority of the Board.

1. 1/22/2021 – Submitted Performance Report: 5-2: St. Andrew Bay Stormwater Improvement Program – St. Andrew Bay Watch – Water Quality Monitoring
2. 1/22/2021 – Submitted Performance Report: 4-1: Choctawhatchee Bay Septic to Sewer Conversion – Feasibility Study
3. 1/27/2021 – Submitted Performance Report: 3-3: Choctawhatchee Bay Estuary Program
4. 1/27/2021 – Submitted Performance Report: 9-2: Wacissa River Park Improvement Program - Planning and Acquisition
5. 1/27/2021 – Submitted Performance Report: 13-2: Cross Florida Barge Canal Boat Ramp - E&D
6. 1/28/2021 – Submitted Financial Report: 9-2: Wacissa River Park Improvement Program - Planning and Acquisition
7. 1/28/2021 - Submitted Performance Report: 19-1: Sarasota County Dona Bay Hydrologic Restoration Program - E&D
8. 1/28/2021 – Submitted Financial Report: 3-3: Choctawhatchee Bay Estuary Program
9. 1/29/2021 - Submitted Financial Report: 19-1: Sarasota County Dona Bay Hydrologic Restoration Program - E&D
10. 1/28/2021 – Submitted Financial Report: 5-2: St. Andrew Bay Stormwater Improvement Program – St. Andrew Bay Watch – Water Quality Monitoring
11. 1/28/2021 – Submitted Financial Report: 4-1: Choctawhatchee Bay Septic to Sewer Conversion – Feasibility Study
12. 1/28/2021 – Submitted Financial Report: 13-2: Cross Florida Barge Canal Boat Ramp - E&D
13. 1/28/2021 – Submitted Financial Report: 14-1: Artificial Reef Program - E&D and Monitoring
14. 2/4/2021- Draw Request submitted Collier County \$18,864.75
15. 2/9/2021 – Submitted SEP Amendment to FDEP
16. 2/9/2021 – Resubmitted 7-3: Apalachicola Bay Cooperative Dredging Program
17. 2/9/2021 – Resubmitted 16-2: Wastewater Collection System Improvements - E&D
18. 2/9/2021 – Resubmitted 20-1: Charlotte Harbor Septic to Sewer - Zone 3 Construction
19. 2/9/2021 – Resubmitted 18-6: Applied Research for Shellfish Aquaculture and Habitat Restoration
20. 2/9/2021 – Resubmitted 18-2: Portosueno Park Living Shoreline
21. 2/11/2021- Draw Request submitted Levy County \$9,566.48

22. 2/23/2021- Draw Request submitted Okaloosa County \$4,823.50

## **AGENDA ITEM 6**

**Gulf Consortium Executive Committee Meeting  
March 10, 2021**

**Agenda Item 6  
Grant applications review and SEP project status**

**Statement of Issue:**

All SEP projects with active funding requests are summarized in this item. A table of all submitted applications and project status is included as an attachment to this item. 1 new grant application is presented to the Consortium for review and approval and subsequent processing and submission to RESTORE Council.

**Background:**

The next recommended deadline for submission of grant application materials is 5/28/2021, to allow for staff time to prepare applications for the July Consortium Board Meeting. Any project milestones with 2019-2022 start date can be applied for – see page 1 of project data dashboard at (page navigation arrows at bottom of dashboard) <http://datavisual.balmoralgroup.us/GulfConsortiumProjects>. Please let us know if you have projects planned for later that could possibly start now; these can be considered by the Board for earlier funding.

As of Mar 2, 2021, 18 projects have been awarded. A total of 35 grant applications have been received and processed. 11 are currently under RESTORE Council review: 3 have been withdrawn, 18 have been awarded, and 2 are being prepared for submission to RESTORE Council. The total amount of all grant applications or awards is about \$50M.

**Most Recent Activity:**

The following grant application for SEP project implementation have been prepared in conjunction with County personnel:

- 5-1: North Bay Water Quality Improvement - Raw Water Line – Construction  
**\$1,516,795**

This project includes nearly the entire project total as pre-award costs due to timing constraints for construction at the County level and the lengthy RESTORE Council review timeline. 50 hours/year for management efforts and 30 hours/year for legal oversight are budgeted at the Consortium level, included in the total above.

**Attachments:**

- Project applications summary table
- Certification and Assurances form
- Construction Certifications

**Options:**

- (1) Approve this grant application for presentation to the full Gulf Consortium Board
- (2) Executive Committee direction

**Recommendation:**

Option 1

**Prepared by:**

Dan Dourte

The Balmoral Group, Manager

On: February 25, 2021

**Action Taken:**

Motion to: \_\_\_\_\_, Made by: \_\_\_\_\_;

Seconded by: \_\_\_\_\_.

Approved\_\_\_\_; Approved as amended\_\_\_\_; Defeated\_\_\_\_\_.

## Project Applications Summary Table

County	Project Name	Milestones	Metrics	Amount	Subrecipient Amount	Start Date	End Date	Pre-award Budget
Bay	5:1 - North Bay Water Quality Improvement - Raw Water Line - Construction	Construction	RES002 - # upgrades to stormwater and/or wastewater systems	\$1,516,795	\$1,500,000	4/1/2021	3/10/2022	\$1,506,600
<b>Total Pot 3 funding request</b>				<b>\$1,516,795</b>	<b>\$1,500,000</b>			

NOTE: See all project status on P. 7 of <http://datavisual.balmoralgroup.us/GulfConsortiumProjects> (navigation arrows at bottom of screen on that link)

## Certification and Assurances Instructions for Grant Awards

The Gulf Coast Ecosystem Restoration Council (Council) is the independent federal entity established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast Act of 2012 (33 U.S.C. § 1321(t) and *note*) (RESTORE Act). The Council is made up of the five Gulf Coast States (Alabama, Florida, Louisiana, Mississippi, and Texas) and six federal agencies (the U.S. Departments of the Army, Agriculture, Commerce, Homeland Security, and Interior, as well as the Environmental Protection Agency) and is responsible for managing a portion of the Gulf Coast Restoration Trust Fund. Specifically, the Council manages two RESTORE Act components: the Council-Selected Restoration Component (33 U.S.C. § 1321(t)(2)) and the Spill Impact Component (33 U.S.C. § 1321(t)(3)). For awards made under both components, the Council uses the standards set forth in the Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (2 CFR part 200), and which the Council has adopted at 2 CFR § 5900.101.<sup>1</sup>

These certifications are required by federal law, U.S. Department of the Treasury (Treasury) regulations, and the RESTORE Act and must be submitted with each application to the Council for financial assistance under both the Council-Selected Restoration and Spill Impact Components. The certifications must be signed by an authorized senior official (or authorized representative) of the Applicant who can legally bind the entity and has oversight for the administration and use of the RESTORE Act funds.

Please read the forms carefully and complete the required certifications. Once the authorized senior official has signed the documents, please scan the signed certification document and submit it electronically along with any applicable SF-424 Assurances. The appropriate signed certification and assurances documents must be included as uploaded documents with the agreement application package submitted to the Council through GrantSolutions. In addition, these certifications must be submitted to the Council on at least an annual basis.<sup>2</sup>

- RESTORE Council Applicant Certifications - includes the required RESTORE Act certifications; certification regarding debarment, suspension and other responsibility matters; certification regarding drug-free workplace requirements; and required certifications regarding lobbying.
- Assurances – Construction Programs via submission of Form SF-424D are only required for projects involving construction or real property/ land acquisition.<sup>3</sup>

If you have questions regarding the appropriate documents to submit, please contact the Council Grants Office.

Additional certifications are required in the following situations throughout the award life cycle:

- Disclosure Form to Report Lobbying - in some situations, described in Section D, “Certification Regarding Lobbying,” on the Council Applicant Certifications, the Applicant must also submit Form SF-LLL, “Disclosure Form to Report Lobbying”.

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<sup>1</sup> See also 2 CFR §§ 200.205, 200.207, 200.300, 200.302, and 200.303.

<sup>2</sup> See 2 CFR § 200.208.

<sup>3</sup> The past version of this certification form required that applicants complete the Assurances – Non-Construction Programs (Form SF-424B); however, these assurances are now required of all applicants through the System for Award Management (SAM.gov) and are no longer required to be submitted along with these certifications.

- Additional Certifications Required for Expenditures - an official signature is required on all expenditures. The official signature certifies and assures that expenditures are proper and in accordance with the terms and conditions of the federal award and approved project budgets. The semi-annual and final financial reports or vouchers requesting payment under the award must include a certification, signed by an authorized senior official who is authorized to legally bind the non-federal entity, which reads as follows: “By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (See 18 U.S.C. §§ 287 and 1001 and 31 U.S.C. §§ 3729-3730 and 3801-3812).” See also 2 C.F.R. § 200.415 “Required certifications.”

#### Certification of the Applicant’s Authorized Senior Official

For each of the certification documents, identify the individual who has the authority to commit the organization to the Council's grant management requirements and who can certify the accuracy of the statements made in the forms.



## **Gulf Coast Ecosystem Restoration Council**

### **Applicant Certifications for Grants**

*Directions: These certifications are required by federal law and U.S. Department of the Treasury (Treasury) regulations to be submitted with each application to the Gulf Coast Ecosystem Restoration Council (Council) for financial assistance under the Council-Selected Restoration Component or Spill Impact Component, which components are authorized under the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast Act of 2012 (33 U.S.C. 1321(t) and note) (RESTORE Act). The certifications must be signed by an authorized senior official of the Applicant who can legally bind the entity and has oversight for the administration and use of the Council-Selected Restoration or Spill Impact Component funds.*

#### **A. RESTORE Act Certification**

Pursuant to the RESTORE Act, I certify that for any award Agreement resulting from this application:

1. Each activity funded under the Agreement has been primarily designed to plan for or undertake activities to restore and protect the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, or economy of the Gulf Coast region.
2. Each activity funded under the Agreement is designed to carry out one or more of the eligible activities for the Council-Selected Restoration Component or Spill Impact Component.
3. Each activity funded under the Agreement, other than planning assistance, was part of a plan made available for public review and comment in a manner calculated to obtain broad-based participation from individuals, businesses, Indian Tribes, and nonprofit organizations, and that the activity was selected after consideration of meaningful input from the public, as described in the grant application.
4. Each activity funded under the Agreement that protects or restores natural resources is based on the best available science, as that term is defined in 31 CFR part 34.
5. The recipient has procedures in place for procuring property and services under this award that are consistent with the procurement standards applicable to federal grants. This recipient will not request funds under the Agreement for any contract unless this certification remains true and accurate.
6. Pursuant to 31 CFR § 34.802(f), there is a conflict of interest policy in effect that covers each activity funded under the Agreement. In addition, pursuant to 2 CFR § 200.112, the recipient will disclose in writing any actual or potential conflict of interest to the Council within 14 business days of the date the recipient learns of or discovers the conflict .

I make each of these certifications based on my personal knowledge and belief after reasonable and diligent inquiry, and I affirm that the Applicant maintains written documentation sufficient to support each certification made above, and that the Applicant's compliance with each of these certifications is a condition of the Applicant's initial and continuing receipt and use of the funds provided under the Agreement.

#### **B. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions**

*Instructions: The inability of an Applicant to provide the certification required below will not necessarily result in the denial of participation in this covered transaction, but if the Applicant is unable to do so, the Applicant must submit an explanation of why it cannot provide the certification. The certification or explanation will be considered in connection with the Council's approval of the proposed Application. However, failure of the Applicant to furnish a certification or an explanation shall disqualify such person/entity from participation in this transaction. Please be advised of the following:*

1. *This certification is a material representation of fact upon which reliance is placed when the Council determines to enter into this transaction. If it is later determined that the Applicant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Council may terminate this transaction for cause or default.*
2. *The Applicant shall provide immediate written notice to the Council if at any time the Applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.*
3. *The terms “covered transactions,” “debarred,” “suspended,” “ineligible,” “lower tier covered transaction,” “participant,” “person,” “primary covered transaction,” “principal,” “proposal”, and “voluntarily excluded,” as used in this certification, are defined consistent with Treasury’s Governmentwide Debarment and Suspension (Nonprocurement) regulations, which are set out at 31 CFR part 19 and which implement Executive Order 12549. You may contact the Council for assistance in obtaining a copy of Treasury’s regulations.*
4. *The Applicant agrees by submitting this Application that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the Council.*
5. *The Applicant further agrees by submitting this Application that it will include the clause titled “Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction,” to be provided by the Council, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions (see the Appendix to 31 CFR part 19 – Covered Transactions).*
6. *A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.*
7. *Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.*
8. *Except for transactions authorized under paragraph 4 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in the transaction, in addition to other remedies available to the Federal Government, the Council may terminate the transaction for cause or default.*

By signing and submitting the Application, the prospective primary participant (the Applicant) is providing the certification set out below.

**The prospective primary participant (the Applicant) certifies to the best of its knowledge and belief, that it and its principals:**

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
2. Have not within a three-year period preceding this Application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, State, or local) transaction or contract under a public transaction; violation of federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, State, or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
4. Have not within a three-year period preceding the Application had one or more public transactions (federal, State, or local) terminated for cause or default.

Where the Applicant is unable to certify to any of the statements in this certification, such Applicant shall attach an explanation to the Application.

### **C. Certification Regarding Drug-Free Workplace Requirements**

**The Applicant certifies that it will provide a drug-free workplace by:**

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employee for violations of such prohibition;
2. Establishing a drug-free awareness program to inform employees about:
  - a. The dangers of drug abuse in the workplace;
  - b. The Applicant's policy of maintaining a drug-free workplace;
  - c. Any available drug counseling, rehabilitation, and employee assistance program; and
  - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace
3. Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (1) of this certification;
4. Notifying the employee in the statement required by paragraph (1) of this certification that, as a condition of employment in such grant, the employee will:
  - a. Abide by the terms of the statement; and
  - b. Notify the employer of any criminal drug use statute conviction for a violation occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the granting agency in writing, within ten calendar days after receiving notice of a conviction under paragraph (4)(b) of this certification from an employee or otherwise receiving actual notice of such conviction;
6. Taking one of the following actions, within 30 days of receiving notice under paragraph (4)(b) of this certification, with respect to any employee who is so convicted:
  - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, State, or local health, law enforcement, or other appropriate agency; and
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1 through 6 above.

### **D. Certification Regarding Lobbying**

The Applicant certifies, to the best of his or her knowledge and belief, that:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of

any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Form SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The Applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by title 31 U.S.C. § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Project/Program Name:

Signature of Authorized Senior Official

Date

Name:

Title:

Agency:

## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant: I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.



11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL <div style="border: 1px solid black; height: 40px; width: 100%; background-color: yellow;"></div>	TITLE <div style="border: 1px solid black; height: 20px; width: 100%; background-color: yellow;"></div>
APPLICANT ORGANIZATION <div style="border: 1px solid black; height: 20px; width: 100%; background-color: yellow;"></div>	DATE SUBMITTED <div style="border: 1px solid black; height: 20px; width: 100%; background-color: yellow;"></div>

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# **AGENDA ITEM 7**

**Gulf Consortium Executive Committee  
March 10, 2021**

**Agenda Item 7  
Financial Report**

**Statement of Issue:**

Presentation of the most recent monthly financial statements. The report also includes a snapshot of Grant applications for SEP Implementation.

**Background:**

Financial Statements are produced monthly for the Consortium. Additionally, attachments include a snapshot of the amounts allocated to the Adaptive Planning Grant and the amounts pertaining to Grant Applications for SEP projects.

**Attachments:**

- a) Financial Statements through February 28 – Balance Sheet and Income Statement
- b) Grant Status Summary
- c) Submitted Grants Graph

**Action Required:**

- 1) Approve Financial Reports for Full Board Approval
- 2) Other Executive Committee direction

**Staff Recommendation:**

Approve as proposed.

**Prepared by:**

Richard Bernier  
The Balmoral Group  
On: January 25, 2021

**Action Taken:**

Motion to: \_\_\_\_\_, Made by: \_\_\_\_\_;

Seconded by: \_\_\_\_\_.

Approved\_\_\_\_; Approved as amended\_\_\_\_; Defeated\_\_\_\_\_.



**Gulf Consortium**  
**Balance Sheet**  
**As of February 28, 2021**  
**Feb 28, 2021**

**ASSETS**

Current Assets

Checking/Savings

Cash

Grant Account (Wells Fargo) 5,297.66

Operating Account (Seaside) 98,982.67

Total Cash 104,280.33

Total Checking/Savings 104,280.33

Accounts Receivable

Accounts Receivable

Grants Receivable (SEP) 14,991,774.57

Accounts Receivable (General) 6,306.00

Total Accounts Receivable 14,998,080.57

Total Accounts Receivable 14,998,080.57

Other Current Assets

Other Current Assets

Other Receivables 41,362.79

Prepaid Expenses 9,791.00

Other Current Assets - Other 13,241.37

Total Other Current Assets 64,395.16

Total Other Current Assets 64,395.16

Total Current Assets 15,166,756.06

**TOTAL ASSETS 15,166,756.06**

**LIABILITIES & EQUITY**

Liabilities

Current Liabilities

Accounts Payable

Payables

Accounts Payable (Grants) 40,089.59

Accounts Payable (General) 4,012.50

Total Payables 44,102.09

Total Accounts Payable 44,102.09

Other Current Liabilities

Accrued Liabilities

Accrued Liabilities (Grants) 15,030,820.79

Total Accrued Liabilities 15,030,820.79

Total Other Current Liabilities 15,030,820.79

Total Current Liabilities 15,074,922.88

Total Liabilities 15,074,922.88

Equity

Funds Transfers (51,652.24)

Unrestricted Net Assets 74,535.45

Net Income 68,949.97

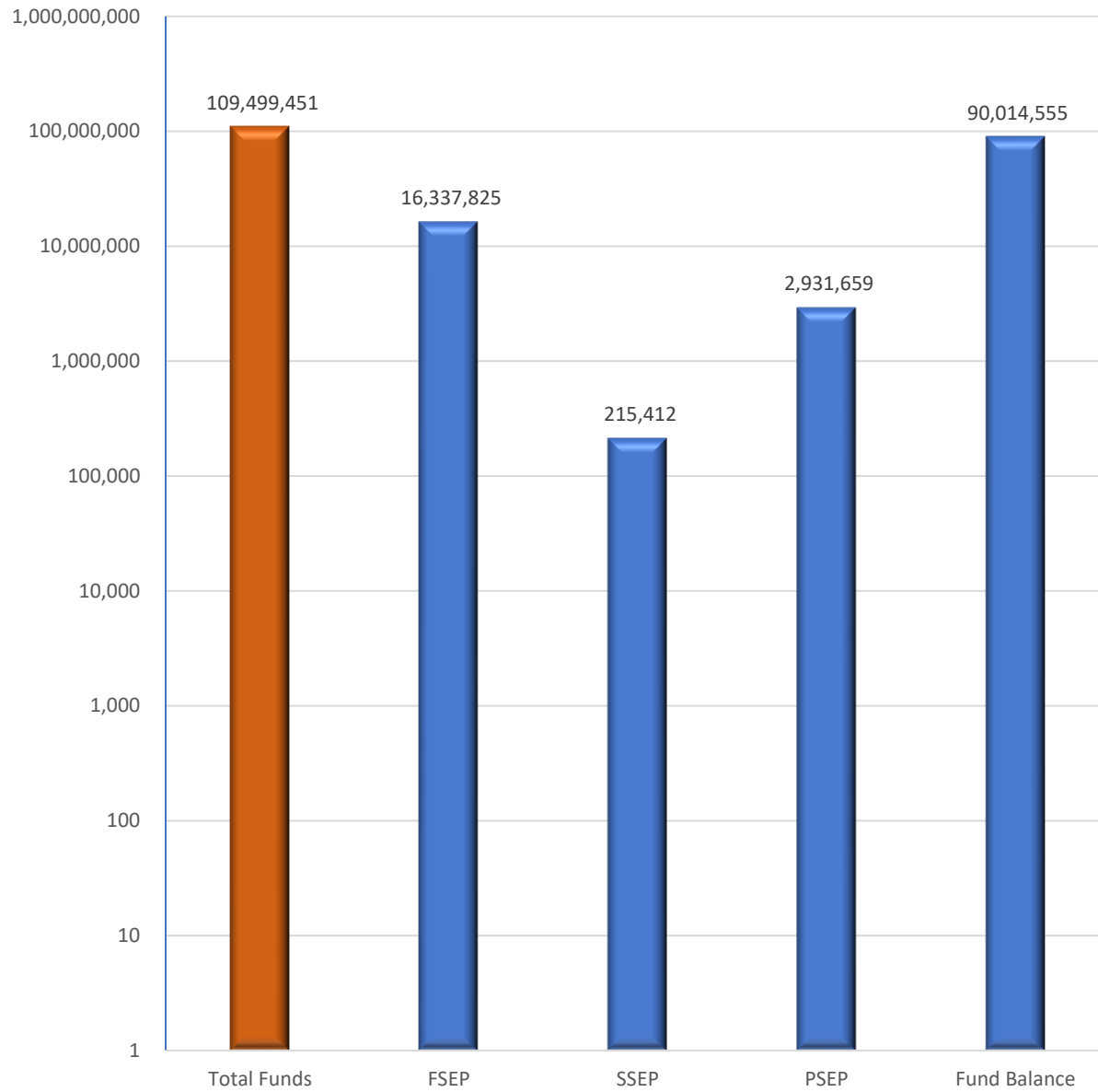
Total Equity 91,833.18

**TOTAL LIABILITIES & EQUITY 15,166,756.06**

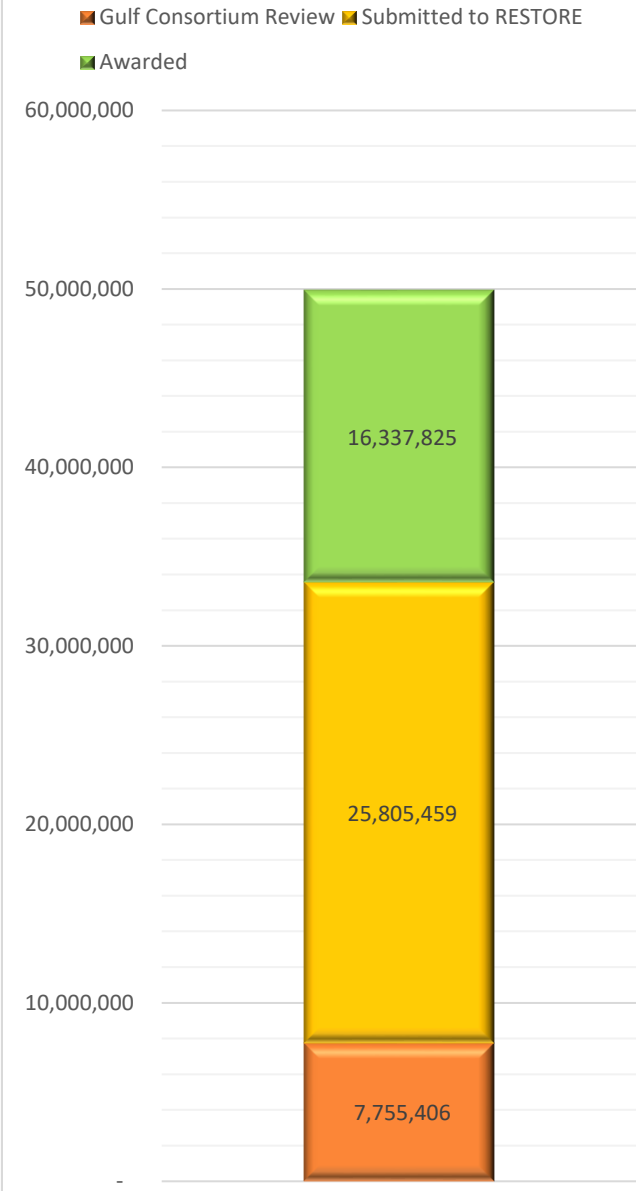
**Gulf Consortium**  
**Profit & Loss**  
October 2020 through February 2021

	<u>Adaptive Planning</u>	<u>General Fund</u>	<u>SEP Grants</u>	<u>TOTAL</u>
<b>Income</b>				
Adaptive Planning Grant	62.50	-	-	62.50
Direct Contributions	-	106,790.00	-	106,790.00
Grant Funds - SEP	-	-	92,726.11	92,726.11
<b>Total Income</b>	<u>62.50</u>	<u>106,790.00</u>	<u>92,726.11</u>	<u>199,578.61</u>
<b>Expense</b>				
Adaptive Planning	62.50	-	-	62.50
SEP Grants	-	-	92,726.11	92,726.11
General Consortium Expenses				
Other Expense	-	58.13	-	58.13
Special District Fees	-	175.00	-	175.00
Bank Fees	-	599.34	-	599.34
Meeting Expense	-	328.86	-	328.86
Accounting/Accounting	-	2,500.00	-	2,500.00
Management Fees	-	28,586.50	-	28,586.50
Legal Fees	-	5,437.50	-	5,437.50
Compliance Fees	-	154.70	-	154.70
<b>Total General Consortium Expenses</b>	<u>-</u>	<u>37,840.03</u>	<u>-</u>	<u>37,840.03</u>
<b>Total Expense</b>	<u>62.50</u>	<u>37,840.03</u>	<u>92,726.11</u>	<u>130,628.64</u>
<b>Net Income</b>	<u><u>-</u></u>	<u><u>68,949.97</u></u>	<u><u>-</u></u>	<u><u>68,949.97</u></u>

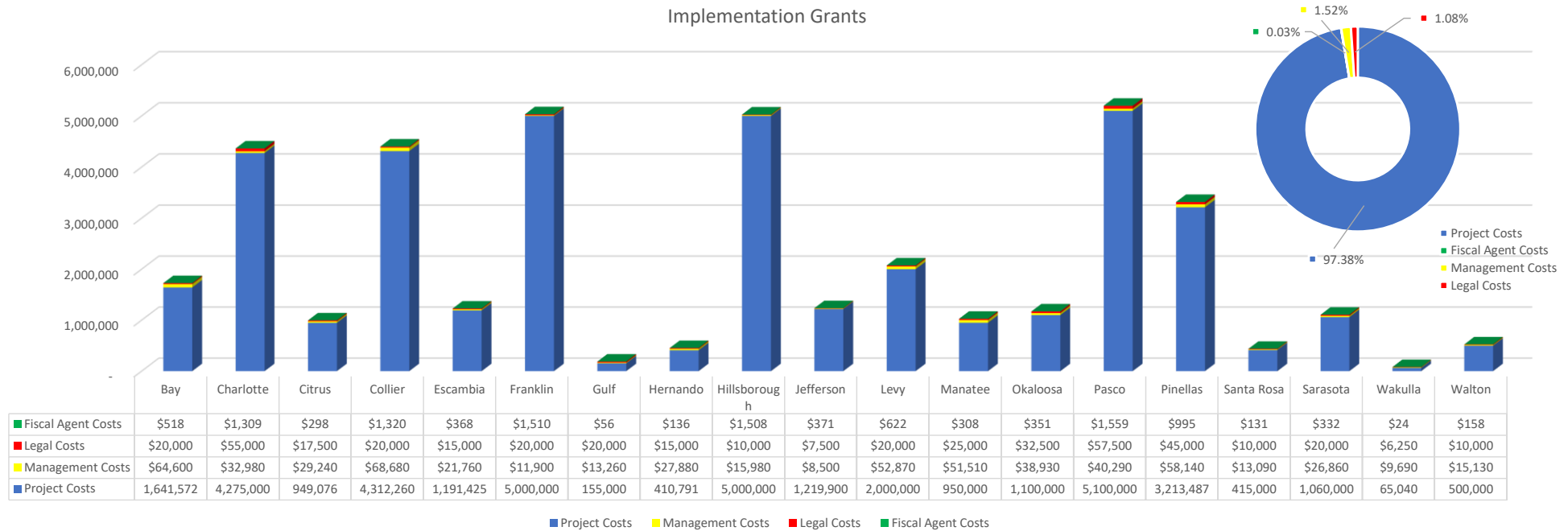
### TRUST FUND through February 2021

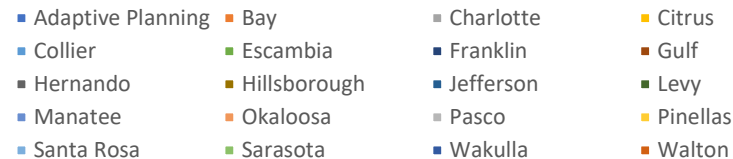
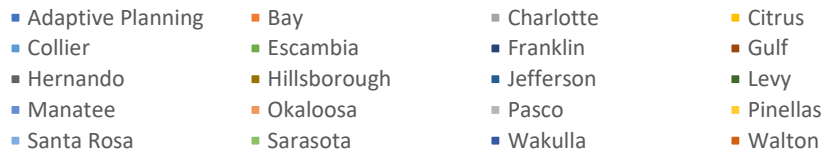
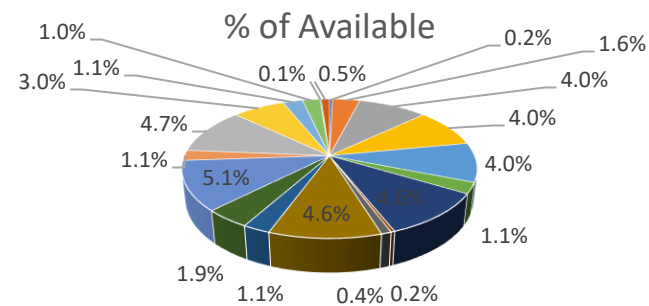
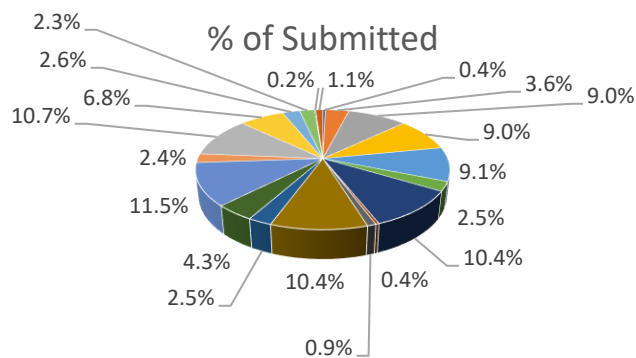
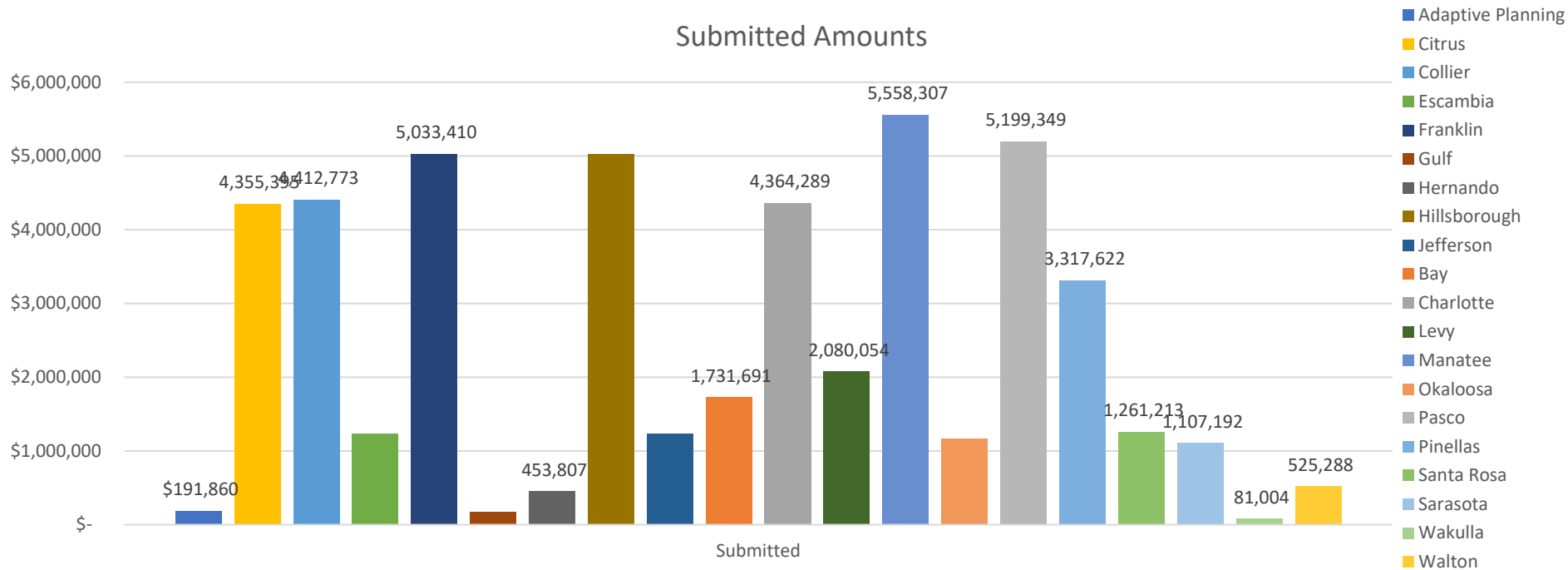


### GRANT STATUS



### Implementation Grants





## **AGENDA ITEM 8**

**Gulf Consortium Executive Committee  
March 10, 2021**

**Agenda Item 8  
General Counsel's Report**

**Statement of Issue:**

General Counsel will provide a verbal update to the Executive Committee

**Action Required:**

None, informational only

**Prepared By:**

General Manager  
The Balmoral Group  
February 25, 2021

## **AGENDA ITEM 9**



**Gulf Consortium Executive Committee  
March 10, 2021**

**Agenda Item 9  
Status of Bucket 2 Projects**

**Statement of Issue:**

Per Board approval to include regular reports from the Consortium's partners, DEP/FWC staff will provide a verbal update of Bucket 2 and related projects. For information only; no action is required.

**Background:**

The State partner agencies' report will be given verbally at the Executive Committee meeting on March 10, 2021

**Attachments:**

None

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
On: February 25, 2021

# **AGENDA ITEM 10**

**Gulf Consortium Executive Committee Meeting  
March 10, 2021**

**Agenda Item 10  
Manager's Report**

**Statement of Issue:**

Consortium staff provides a report on updates to Consortium activities since the last Board meeting.

**Discussion:**

*SEP Activity:* The SEP amendment approved at the last Board meeting was forwarded to DEP on February 9, and is pending RESTORE approval.

*Grant Activity:* Since the last Board meeting, staff has progressed the SEP amendment and several pending grants. Eight performance reports and financial reports were filed at the end of January, and overall RESTORE Coordinators have done a great job getting staff the information to document and upload the reports timely. Four grants reported performance behind scheduled activity, due to COVID constraints (mostly delayed procurements), but no “problem projects” have been identified that warrant special attention at this time.

We currently have 35 active grants in various stages of processing, for a total of about \$50 million in total funding. The SEP schedule projects that about \$128 million in total funding would be obligated by the end of this year. We have reached out with a simplified template to assist some counties in expediting their grant application process, and encouraged RESTORE Coordinators to reach out if they need additional guidance to advance their grant application process. We encourage any Counties that have projects ready to go, even if they were not scheduled to apply for funding yet, to reach out to Consortium staff for discussion on expediting funding.

*New Fed Rules:* Policy Review Committee meets for the first time this week. The revisions to 2 CFR Part 200 prompted several edits which we will be bringing to future Board meetings for approval.

*Audit:* Warren Averett has reached draft stage with the current year audit. Audit committee will meet with the auditors to review the draft report.

*RESTORE Council Updates:* Management staff met with the new RESTORE Council Director, Mark Walker, on March 4 for an introductory call. Ms. Walker comes from an EPA background.

**Attachments:**

- 1) None

**Action Required:**

- 1) None; informational only.

**Prepared by:**

Valerie Seidel, The Balmoral Group  
On: February 25, 2021

# **AGENDA ITEM 11**

**Gulf Consortium Executive Committee Meeting  
March 10, 2021**

**Agenda Item 11  
Public Comments**

**Statement of Issue:**

The public is invited to provide comments on issues that are on today's agenda

**Attachments:**

None

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
General Manager  
On: March 3, 2021

## **AGENDA ITEM 12**

**Gulf Consortium Executive Committee Meeting  
March 10, 2021**

**Agenda Item 12  
Board Member Comments**

**Statement of Issue:**

Members of the Executive Committee are invited to provide comments on relevant issues.

**Attachments:**

None

**Prepared by:**

Amanda Jorjorian  
The Balmoral Group  
General Manager  
On: January 6, 2021